

## Volume 2 – Budget Application

### **a) Section I Application Standard Forms (SF's) see attached**

### **b) Section II - Summary Budget Narrative**

*The Applicant shall provide a summary budget narrative that describes all of the planned project costs (i.e., direct labor, travel, equipment, supplies, contractual, construction, & other) and how these planned costs are connected to the project scope. The summary budget narrative must be sufficiently clear, concise, and detailed to describe how funds will be spent under the project. The Applicant shall also provide a summary budget table that provides estimated costs across project components or tasks, and across all years of the project. The summary budget should represent the total cost of the project, inclusive of both the Federal share and the required matching non-Federal cost share.*

MaineDOT is requesting \$8,360,000 in federal money to match an additional \$2,090,000 in matching state funds. The money will be divided into 4 phases covering a 4 year period. We plan to spend \$1,150,000 in preliminary engineering to cover the costs of an engineering consultant, university (UMaine and UMass Lowell) and department staff to work hand in hand to complete a project design for Brunswick Technology Improvement Project. This project team will design a replacement of traditional traffic signals at 11 intersections with adaptive traffic control technology to improve data collection, communication, and mobility for the traveling public. The project will improve transit by allowing for transit signal prioritization at 15 locations and providing real time information to transit users. Furthermore, the project will improve the safety, reliability, operations and maintenance of the corridor through a road weather information sensor station. We are also looking to expend another \$100,000 to purchase Right of Way for ADA improvements at subject intersections. We are also looking to expend approximately \$8,300,000 on bidding the contract, hiring a contractor and all equipment and supplies needed to construct the project and bring the design to fruition. The Department also needs an additional \$900,000 for a resident engineer and inspectors to ensure that the project is built to the specifications developed in the design.

Project Phase	Year 1 Costs	Year 2 Costs	Year 3 Costs	Year 4 Costs
Preliminary Engineering	\$575,000	\$575,000		
Right of Way		\$100,000		
Construction			\$4,150,000	\$4,150,000
Construction Engineering			\$450,000	\$450,000
Total Federal Share	\$460,000	\$540,000	\$3,680,000	\$3,680,000

Total Non-Federal Share	\$115,000	\$135,000	\$920,000	\$920,000
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**c) Section III - Cost Share Information**

*The Applicant should show evidence that funding has been identified for the project that will cover the 20 percent non-Federal cost-share requirement. The Applicant should include letters of commitment from organizations that will provide a portion of the cost-share and identify the source of those funds (e.g., general treasury funds, revolving loan fund, capital budget, in-kind labor, in-kind equipment, etc.).*

MaineDOT is committing a 20% cost share toward this project. This cost share will come from state funds used to match federal projects. MaineDOT is looking to match the \$8,360,000 in federal money requested with \$2,090,000 in state funds. MaineDOT Commissioner Bruce Van Note has committed the money for the project. (See attached letter)

Section IV – Organizational Information

*a. Identify any exceptions to the anticipated award terms and conditions as contained in Section F, Federal Award Administration Information. Identify any preexisting intellectual property that you anticipate using during award performance and your position on its data rights during and after the award period of performance.*

MaineDOT does not need see any anticipated exceptions to the award terms and conditions. Nor do we have any pre-existing intellectual property that we anticipate using.

*b. The use of a SAM UEI is required on all applications for Federal grants or cooperative agreements. Please provide your organization’s SAM UEI number in your budget application.*

MaineDOT’s SAM UEI is as follows: UEI# MP59EXMVEMJ7

*c. A statement to indicate whether your organization has previously completed an A-133 Single Audit and, if so, the date that the last A-133 Single Audit was completed.*

Yes the State of Maine has a Single Audit. The last one was issued March 31, 2022 and can be found here: <https://www.maine.gov/audit/osa-reports/2021SingleAuditReport-reduced-for-website.pdf>

*d. A statement regarding Conflicts of Interest. The Applicant must disclose in writing any actual or potential personal or organizational conflict of interest in its application that describes in a concise manner all past, present, or planned organizational, contractual, or other interest(s), which may affect the Applicant's ability to perform the proposed project in an impartial and objective manner. Actual or potential conflicts of interest may include but are not limited to any past, present, or planned contractual, financial, or other relationships, obligations, commitments, or responsibilities, which may bias the Applicant or affect the Applicant's ability to perform the agreement in an impartial and objective manner. The Agreement Officer (AO) will review the statement(s) and may require additional relevant information from the Applicant. All such information, and any other relevant information known to DOT, will be used to determine whether an award to the Applicant may create an actual or potential conflict of interest. If any such conflict of interest is found to exist, the AO may (a) disqualify the Applicant, or (b) determine that it is otherwise in the best interest of the United States to contract with the Applicant and include appropriate provisions to mitigate or avoid such conflict in the agreement pursuant to 2 CFR 200.112.*

MaineDOT does not believe there is any Conflict of Interest in regard to this project.

*e. A statement to indicate whether a Federal or State organization has audited or reviewed the Applicant's accounting system, purchasing system, and/or property control system. If such systems have been reviewed, provide summary information of the audit/review results to include as applicable summary letter or agreement, date of audit/review, and Federal or State POC for such review.*

FHWA does an annual system assessment. Attached is the most recent report from July 2022. The Federal point of contact is Mike Nadeau, FHWA Maine Finance Manager. (See Attached MaineDOT-2022- ATTAIN- Volume 2 -Annual System Assessment)

*f. Terminated Contracts - List any contract/agreement that was terminated for the convenience of the Government within the past 3 years and any contract/agreement that was terminated for default within the past 5 years. Briefly explain the circumstances in each instance.*

To the best of our knowledge, we know of no termination for convenience or default within the last 3 to 5 years.

*g. The Applicant is directed to review title 2 CFR §170 (CFR: 2 CFR Part 170 -- Reporting Subaward and Executive Compensation Information) dated September 14, 2010, and Appendix A thereto, and acknowledge in its application that it understands the requirement, has the necessary processes and systems in place, and is prepared to fully comply with the reporting described in the term if it receives funding resulting from this notice. The text of Appendix A will be incorporated in the award document as a General Term and Condition as referenced under this notice's Section F, Federal Award Administration Information.*

MaineDOT is committed to fully comply with the reporting requirements indicated in 2 CFR §170 (CFR: 2 CFR Part 170 -- Reporting Subaward and Executive Compensation Information) dated September 14, 2010, and Appendix A.

*h. Disclose any violations of Federal criminal law involving fraud, bribery, or gratuity violations. Failure to make required disclosures can result in any of the remedies described in 2 CFR 200.339 entitled Remedies for Noncompliance, including suspension or debarment. (See also 2 CFR Part 180 and 31 U.S.C. 3321).*

MaineDOT does not have any violations of Federal criminal law involving fraud, bribery or gratuity violations to the best of our knowledge.



STATE OF MAINE  
DEPARTMENT OF TRANSPORTATION  
16 STATE HOUSE STATION  
AUGUSTA, MAINE 04333-0016

Janet T. Mills  
GOVERNOR

Bruce A. Van Note  
COMMISSIONER

November 18, 2022

The Honorable Pete Buttigieg, Secretary  
United States Department of Transportation  
1200 New Jersey Ave, SE  
Washington, DC 20590

Re: Assurance of Matching Funds

Dear Secretary Buttigieg:

This letter serves as evidence of assurance by the State of Maine Department of Transportation (MaineDOT) that matching funds for the 2022 Advanced Transportation Technology and Innovation (ATTAIN) Grant application "Brunswick Cooks Corner Mobility and Safety Improvement Project" submitted by MaineDOT are committed and will be provided. The source of the match is state funds.

MaineDOT is seeking \$8,360,000 in FY 2022 ATTAIN Grant funding, matched by \$2,090,000 in state funding. This project is included in MaineDOT's 2023-2025 *Work Plan*, and this project will be included in the Statewide Transportation Improvement Program (STIP) for 2023-2026. It is consistent with MaineDOT's long-range plan. MaineDOT is committed to providing these matching funds to the Federal funds requested.

Sincerely,

A handwritten signature in blue ink, appearing to read "Bruce A. Van Note".

Bruce A. Van Note  
Commissioner